

ISA Transfer Form



For a Stocks and Shares ISA provided by Seven Investment Management (7IM)

PLEASE PRINT CLEARLY IN BLOCK CAPITALS IN ALL SECTIONS

Section 01 Details of the ISA to be Transferred

Plan Manager and Address

City:

County (or country if not UK):

Postcode:

I apply to transfer my existing ISA to 7IM.

Account Number(s):

For cash ISAs, held at a bank, please provide the sort code for the branch where the account is held

Please tick ONE box only

I wish to transfer the account(s) as follows:

FULL IN-SPECIE transfer - RE-REGISTER all the holdings as they are currently invested and transfer them with any residual cash to 7IM*

OR

FULL CASH transfer - SELL all holdings (if applicable) and send all cash to 7IM

OR

PARTIAL IN-SPECIE transfer - RE-REGISTER the holdings listed below as they are currently invested and transfer them to 7IM*

OR

PARTIAL CASH transfer - SELL all holdings listed below and send all cash to 7IM*

(Please specify any special transfer requirements below)

* I have enclosed a recent valuation / statement of holdings to be transferred. Providing a valuation / statement will speed up the transfer process.

Please note that any current year subscriptions must be transferred in full.

Section 02 Client Details

If you have an existing 7IM personal account, please provide your account number below.

Title:

First Name(s):

Surname:

Date of Birth (DD/MM/YYYY):

National Insurance Number:

Permanent Residential Address:

City:

County (or country if not UK):

Postcode:

Transfer authority

I authorise my existing ISA Provider to transfer the ISA/s quoted to 7IM.

I authorise my existing ISA Provider to provide 7IM with any information (written or non written) about the ISA and to accept any instructions from them relating to the ISA/s to be transferred.

Section 03 ISA Declaration

I apply to subscribe for a stocks and shares ISA for tax year:

/

and each successive year until further notice.

I declare that:

- all subscriptions made, and to be made, belong to me;
- I am 18 years of age or over;
- I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA, and a Lifetime ISA in the same tax year;
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA;
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform 7IM if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties; and
- I agree to the ISA terms and conditions.

I authorise 7IM

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
- to make on my behalf any claims to relief from tax in respect of ISA investments.

Section 04 Declaration and Signature

By signing this form, you declare that this application form has been completed to the best of your knowledge and belief.

Your signature(s) is required for the completion of this form

Your Signature:

[Signature area]

Signed by (please PRINT name):

Date:

Authority to sign¹:

¹If the person signing this form is not the named applicant, please indicate the capacity in which you are signing this form, e.g. as attorney or Court appointed deputy. If signing as an attorney or Court appointed deputy, please also ensure that you have provided us with a certified copy or original of the power of attorney or Court order.

PLEASE RETURN ALL PAGES OF THIS FORM TO SEVEN INVESTMENT MANAGEMENT LLP, 1 ANGEL COURT, LONDON EC2R 7HJ

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