

Additional Permitted Subscription (APS) Subscription Form



PLEASE PRINT CLEARLY IN BLOCK CAPITALS AND COMPLETE ALL APPLICABLE SECTIONS OF THIS FORM.

You can use this form to subscribe to your Additional Permitted Subscription (APS) allowance. Please be aware you will need to complete this form whenever you wish to make a subscription. Once a subscription has been made within the APS allowance, all future subscriptions must be made with Seven Investment Management LLP (7IM).

Section 01 Individual Details

Investor Details

Title:	First Names(s):	Surname:
<input type="text"/>	<input type="text"/>	<input type="text"/>

Permanent Residential Address (please note we cannot accept a PO Box number or a care of (C/O) address):

<input type="text"/>	City:	<input type="text"/>
County (or country if not UK):	<input type="text"/>	Postcode:
<input type="text"/>	<input type="text"/>	<input type="text"/>

7IM APS ISA Number:

Deceased Details

Title:	First Names(s):	Surname:
<input type="text"/>	<input type="text"/>	<input type="text"/>

Section 02 APS Allowance Subscription Information

Please be aware that 7IM will not be able to process your subscription request until we have confirmed your APS allowance (including any APS allowance(s) being transferred in from the deceased's ISA Manager(s) if you have completed a 7IM APS Transfer Authority form(s)).

Section A – Subscription

I wish to subscribe to a stock & shares ISA

<input type="checkbox"/>	My full APS allowance or	<input type="text"/>	£
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Please tick **ONE** of the following options below:

<input type="checkbox"/>	In cash (please complete Section B - Cash Subscription)
<input type="checkbox"/>	In specie from (from an inherited 7IM ISA)

Note you will need to provide Grant of probate and signed instruction from all executors before APS subscription can be made from an inherited 7IM ISA.

Section B – Cash Subscription

For any subscription I wish to make in cash, I would like to subscribe - Please tick ONE of the following options below:

- ☐ by cheque or electronic payment. **Note your Financial Adviser or Relationship Manager will contact you for details of payment after we have confirmed your APS allowance amount;**
- ☐ by transferring my inherited ISA account held with another ISA manager as cash. **Note you will need to complete the 7IM APS Transfer Authority form. A subscription will only be made after we have confirmed your APS allowance amount and 7IM receives cleared funds from the ISA manager.**
- ☐ by moving cash from an existing account I hold with 7IM,

my 7IM account reference is:

Section 03 APS Eligibility Declaration

I (the investor) declare that:

- the subscription is made under the provisions of regulation 5DDA of the ISA regulations (additional permitted subscription)
- the subscription is being made
 - in the case of 'in specie' subscriptions, within 180 days of beneficial ownership passing to me (where the deceased died in the period beginning on 3 December 2014 and ending on 5 April 2015, this is treated as occurring on either 6 April 2015, or, the actual date of distribution, which ever is the later), and
 - in the case of cash subscriptions, within 3 years of the date of death, or if later, 180 days of the completion of the administration of the estate (where the deceased died in the period beginning on 3 December 2014 and ending on 5 April 2015, the deceased is treated as dying on 6 April 2015).
- all subscriptions made, and to be made, belong to me

Section 04 Declarations

By signing this form, you declare that this application form has been completed to the best of your knowledge and belief.

Your signature is required for the completion of this form

Signature:

Signed by (please PRINT name):

Date:

Authority to sign¹:

¹If the person signing this form is not the named applicant, please indicate the capacity in which you are signing this form, e.g. as attorney or Court appointed deputy. If signing as an attorney or Court appointed deputy, please also ensure that you have provided us with a certified copy or original of the power of attorney or Court order.