

7IM PLATFORM FEES & CHARGES

PLATFORM // APRIL 2019

Platform Service Fee

Fees will be charged monthly in arrears and are calculated on the daily closing mid-market value of the portfolio(s). Cash (other than when included in a 7IM or third party model portfolio) is exempt from the Platform Service Fee. 7IM do not apply any exit fees.

| Portfolio Value | Platform Service Fee (per annum) |
|--|----------------------------------|
| On the first £500,000 | 0.30% |
| On the balance of £500,000 to £1,000,000 | 0.25% |
| On the balance of £1,000,000 to £2,000,000 | 0.15% |
| On the balance of £2,000,000 to £5,000,000 | 0.08% |
| On the balance over £5,000,000 | 0.05% |

Investments in 7IM Funds (excluding 7IM Equity Value Funds) are not included in the Platform Service Fee above but are subject to a Platform Fee of 0.25% per annum. These funds also have the benefit of being offered on the Platform as a 7IM Platform share class (S) with the Annual Management Charge (AMC) of these funds 0.25% lower than the standard share class (C). Please note the AMC of the Real Return Fund Platform share class (S) is 0.10% lower than the standard share class (C).

7IM SIPP

7IM offers a Self Invested Personal Pension (SIPP) with no annual administration fee for those clients with a 7IM SIPP portfolio above £75,000 (a fee of £100 +VAT applies for 7IM SIPP portfolios below £75,000). For a full list of the charges, please refer to the 7IM SIPP Fees & Charges document.

Notes

CASH

Interest is payable on cash held on the 7IM Platform at a rate of Base Rate minus 0.75%. This will be calculated on a daily basis and paid to the portfolio six-monthly. If Base Rate is 0.75% or below, interest will not apply.

If accrued interest is less than £10 over a six-month period, no interest will be credited for that period.

TRANSFERRING CASH AND INVESTMENTS

There are no charges for cash transfers.

7IM makes no charge for transferring investments from or to another provider, but you should be aware that third party charges may apply.

FINANCIAL ADVISER CHARGES

A Financial Adviser may charge you up to 3.00% of the value of your investments as an initial / establishment fee or a per trade basis. 7IM does not make any initial charge.

Any ongoing payment to the Financial Adviser is in addition to the 7IM charges.

SIPPS, OFFSHORE BONDS AND OTHER TAX WRAPPERS

7IM makes no additional charge for holding the wrapper on the 7IM Platform but there may be a charge levied by the wrapper provider.

In addition to our 7IM SIPP, we work with a number of SIPP providers. 7IM will cover the SIPP fees of £95 (+VAT) per annum for SIPPs from our preferred SIPP provider, for accounts of £75,000 and above, provided those SIPP assets are held at 7IM.

ISAs – 7IM provides this wrapper at no additional charge.

FOREIGN LISTED SECURITIES AND FOREIGN EXCHANGE TRANSACTIONS

All foreign listed security trades are charged at £25 per trade. Foreign exchange conversions are carried out via the custodian (Pershing) at market rates. 7IM charges an additional 0.50% on each such transaction.

www.7im.co.uk