

INDIVIDUAL ACCOUNT OPENING FORM

7IM DUBLIN (FUNDS) PLC

Please use this form if you are a private individual and want to buy 7IM (Dublin) Funds for yourself or jointly with another private individual. Investors should ensure that the original subscription agreement is returned by post to the administrator at the address below and also by fax to +353 1 531 8572. Failure to return the original application form and supporting documentation will delay the payment of redemption proceeds (and dividends/distributions if applicable).

Defined terms used in this application form are those used in the prospectus (unless the context otherwise requires).

Please complete all sections in CAPITALS
SECTIONS MARKED WITH AN * ARE MANDATORY

Section 1 – Applicant(s)

Applicant One

Title

Forename(s)*

Surname*

Permanent Residential Address*

Country*

Postcode*

Correspondence Address
If you would like correspondence to be sent to a different address, please write the details in the boxes below.

Country

Postcode

Daytime Telephone Number*

Email Address

Nationality

Town and Country of Residence

Town and Country of Birth*

Date of Birth* (DD/MM/YYYY) / /

Applicant Two (if opening a joint account)

Title

Forename(s)*

Surname*

Permanent Residential Address*

Country*

Postcode*

Correspondence Address
If you would like correspondence to be sent to a different address, please write the details in the boxes below.

Country

Postcode

Daytime Telephone Number*

Email Address

Nationality

Town and Country of Residence

Town and Country of Birth*

Date of Birth* (DD/MM/YYYY) / /

If you are buying funds in the name of a child under 18 or would like the account to be designated, please show this here

(Maximum 15 characters)

Section 2 – Tax Residence

Regulations based on FATCA and the OECD Common Reporting Standard (“CRS”) require us to collect and report certain information about your tax residency. If your tax residence is located in the U.S. or a country that is signed up to the CRS, we may be legally obliged to pass on the information in this form and other financial information with respect to your financial accounts to our local tax authority, who may in turn share this information with the tax authority of your country(ies) of tax residence.

The information provided in this form will remain valid unless there is a change in circumstances relating to your tax status or other mandatory fields included in this form. Should any such information change, please ensure you advise us of the changes immediately.

Applicant One

1. Are you are U.S. Taxpayer*

Yes Please also complete a W-9 Form available from www.irs.com

No

*If you are unsure, please refer to the supporting notes.

2. Please list below all countries in which you are resident for tax purposes and the associated Tax Reference. EU residents living outside of Ireland will need to provide proof of their Tax Identification number (e.g. photo identity card) or Tax Residency Certificate (where the place of issuing country passport differs from country of tax residence).

Country/ Tax Identification Number (TIN)
Countries of Tax Residence

<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

If a TIN is not available please select **one** of the following reasons:

- The country where I am liable to pay tax does not issue TINs to its residents
- No TIN is required. (Note only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed).
- The account holder is otherwise unable to obtain a TIN or equivalent number. Please explain why you are unable to obtain a TIN in the box below

Applicant Two

1. Are you are U.S. Taxpayer*

Yes Please also complete a W-9 Form available from www.irs.com

No

*If you are unsure, please refer to the supporting notes.

2. Please list below all countries in which you are resident for tax purposes and the associated Tax Reference. EU residents living outside of Ireland will need to provide proof of their Tax Identification number (e.g. photo identity card) or Tax Residency Certificate (where the place of issuing country passport differs from country of tax residence).

Country/ Tax Identification Number (TIN)
Countries of Tax Residence

<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

If a TIN is not available please select **one** of the following reasons:

- The country where I am liable to pay tax does not issue TINs to its residents
- No TIN is required. (Note only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed).
- The account holder is otherwise unable to obtain a TIN or equivalent number. Please explain why you are unable to obtain a TIN in the box below

Section 3 – Choosing your Investments

Please make sure you read the latest Prospectus, Supplements and KIIDs to help you decide which fund to invest in – or take advice from a Financial Adviser.

The dealing deadline for subscriptions or redemptions is 11:59am (Irish time) on each dealing day. Dealing instructions received after this will be processed on the next dealing day.

Income units pay out a dividend to you but Accumulation units reinvest the dividend and give you additional units instead.

Please make sure you choose carefully and indicate which share class, type of unit and amount you wish to invest.

Share class C – minimum lump sum investment £1,000, minimum shareholding £1,000.

Share class S – minimum lump sum investment £10,000,000, minimum shareholding £10,000,000.

Fund Name	Share Class	INC	ACC	Value (£)

The amounts expressed in GBP (£) in this section shall also refer to the currency equivalent thereof. The Directors may waive such minimum investment levels in their absolute discretion. The Directors may vary the Minimum Shareholding in respect of each class to such greater or lesser amount as they may determine in their absolute discretion.

Section 4 – Paying for your First Investment

You can send money by bank transfer to:

Barclays Bank PLC

SWIFT Code: BARCGB22

Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey

IBAN: GB64BARC20325353529495

Beneficiary: 640037-20019 7IM (Dublin) Funds PLC

For Further credit account name: Northern Trust Fiduciary Services (Ireland) Limited as custodian to 7IM (Dublin) Funds PLC

Reference: Please quote the fund name (7IM (Dublin) Funds PLC) along with your client registration number.

Monies must come from the account of the investor. A request to change bank account details must be made in writing to the administrator and must be accompanied by a bank statement or banker's reference.

Section 5 – Income Payments

Income payments are not available for Accumulation shares.

If you want to take income payments from your investment please show your bank account details below. Important – if you do not complete your bank details and anti-money laundering details any income will be automatically re-invested for you. **No third party payments will be undertaken.**

Bank

Branch Address

Branch Sort Code / /

Account Name

Account Number

IBAN

SWIFT Code Reference

Section 6 – Redemption Payments

Please tick this box if you would like your redemptions payments to be made to the same account as your income payments.

Alternatively, you can provide details for another account using the boxes below. **No third party payments will be undertaken. A request to change bank account details must be made in writing to the administrator and must be accompanied by a bank statement or banker's reference.**

Bank

Branch Address

Branch Sort Code / /

Account Name

Account Number

IBAN

SWIFT Code Reference

Section 7 – Financial Adviser Details (if applicable)

If you are investing through a Financial Adviser, please ask them to complete this section:

Contact Name

Adviser Firm Name

Address

Telephone Number

Mobile Number

Fax Number

VAT Registration Number

Regulator

Regulator approval number

Advice has been given in relation to this investment (please tick)

Adviser / Agent Stamp

Agency Number

Commission Terms Agreed with the Fund Promoter

You hereby name and appoint the above named Financial Adviser to be your appointed agent and execute on your behalf **EITHER**

the following acts in accordance with any agreement(s) between you and your Financial Adviser:

- To sell any investments for your account.
- To purchase any investments for your account and give any necessary instructions in the event of corporate actions on these investments.

OR

This agent will be given instructions from you on each occasion that you wish to buy/sell investments in the portfolio. You authorise the agent here named to then act on those instructions.

You acknowledge and confirm that any instructions given by the above agent are solely on your behalf, for your account and are your responsibility. The authority you have granted shall be fully effective until 7IM receives written notice of the revocation of this authority signed by you. All deals executed by 7IM, prior to receipt of written revocation of this authority by 7IM, shall be binding. In the event of your death all deals executed by 7IM, prior to receipt of formal notification of your death, shall be binding.

Client Signature:

Dated / /

Please remember to also sign the declaration at the end of this form.

Agent signature (Financial Adviser)

The agent named above accepts and agrees to its appointment on the terms of this form.

Dated / /

Section 8 – Return of Values (Investment Undertakings) Regulations 2013 (S.1.245 of 2013)

We will need to collect some extra information from you in order to satisfy this regulation.

Please send us an original or copy of one of the documents listed below for **EACH** applicant.

- P60
- P45
- P21 Balancing Statement
- Payslip (where employer is identified by name or tax number)
- Drug Payment Scheme Card
- European Health Insurance Card
- Tax Assessment
- Tax Return Form
- PAYE Notice of Tax Credits
- Child Benefit Award Letter / Book
- Pension Book
- Social Services Card
- Public Services Card

Any printed document issued by the Revenue Commissioners or the Department of Social Protections which contain your name, address and tax reference will also be acceptable.

Section 9 – Anti Money Laundering

In Ireland there are strict rules in place to prevent money laundering. The firms that carry out investment business are responsible for compliance with the money laundering regulations. If you are applying as a private individual and are not investing through a Financial Adviser, please note that you must supply the documents noted below.

Please tick the box for each of the documents you are enclosing. ALL documents must be originals or certified as true copies. Copies can be certified by a lawyer, banker, authorised financial intermediary, accountant, serving police or customs officer or a member of the judiciary.

Please note that if you do not complete your anti-money laundering details any income due to you will be automatically invested.

Applicant One – Personal Identification – one of the following:

- Copy of valid passport

- Copy of valid photocard driving licence and paper counterpart (where this is still issued)
- Copy of current year evidence of entitlement to state or local authority funded benefit e.g. housing, council tax etc.
- Copy of valid Firearms Certificate or Shotgun licence
- Copy of valid disabled person's blue badge

Applicant One – Address Verification – two of the following:

- Copy of valid old style (paper) driving licence
- Copy of current year evidence of entitlement to state or local authority funded benefit e.g. housing, council tax etc.
- Copy of current year Revenue Commissioners Correspondence
- Copy of European Health Insurance Card
- Copy of a bank account credit card statement dated within the last three months
- Copy of valid photocard driving licence and paper counterpart (where this is still issued)
- Copy of utility bill dated within the last three months
- Copy of a current year statement from a Regulated Financial Services firm
- Copy of a current year mortgage statement

Notes:

- The same document cannot be used for both identity and proof of address
- Current year denotes a document which is less than 12 months old when received by ourselves
- Documents printed off the internet are **NOT** acceptable

Applicant Two – Personal Identification – one of the following:

- Copy of valid passport
- Copy of valid photocard driving licence and paper counterpart (where this is still issued)
- Copy of current year evidence of entitlement to state or local authority funded benefit e.g. housing, council tax etc.
- Copy of valid Firearms Certificate or Shotgun licence
- Copy of valid disabled person's blue badge

Applicant Two – Address Verification – two of the following:

- Copy of valid old style (paper) driving licence
- Copy of current year evidence of entitlement to state or local authority funded benefit e.g. housing, council tax etc.
- Copy of current year Revenue Commissioners Correspondence
- Copy of European Health Insurance Card
- Copy of a bank account credit card statement dated within the last three months
- Copy of valid photocard driving licence and paper counterpart (where this is still issued)
- Copy of utility bill dated within the last three months
- Copy of a current year statement from a Regulated Financial

Services firm

- Copy of a current year mortgage statement

Notes:

- The same document cannot be used for both identity and proof of address
- Current year denotes a document which is less than 12 months old when received by ourselves
- Documents printed off the internet are **NOT** acceptable

Section 10 – Declaration of Residence outside of Ireland

Please only complete section 10 or section 11.

Investors outside of Ireland are able to receive payments without deduction of tax. Please complete the declaration below.

Declaration on own behalf

- I/we* declare that I am/we are* applying for the Shares on my own/our own* behalf and that I am/we are* is entitled to the Shares in respect of which this declaration is made and that
 - I am/we are* not currently resident or ordinarily resident in Ireland, and
 - Should I/we* become resident in Ireland I will/we will* so inform you, in writing, accordingly.

* delete as appropriate (mandatory)

Section 11 – Declaration of Residence within Ireland (Declaration referred to in section 7390(6) Taxes Consolidation Act 1977)

Please only complete section 10 or section 11.

Investors outside of Ireland are able to receive payments without deduction of tax. Please complete the declaration below. In the case of joint holders all holders must complete this declaration.

I declare that the information contained in this declaration is true and correct.

Applicant one

Name of Applicant:

Irish Tax Reference:

Applicant two

Name of Applicant:

Irish Tax Reference:

Important Notes

1. This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.
2. Tax reference number in relation to a person, has the meaning assigned to it by Section 885 TCA 1997 in relation to a "specified person" within the

meaning of that section.

Signature of Applicant One

Signature of Applicant Two (if applicable)

Section 13 – Declaration and Self Certification

I/We confirm that I am/we are 18 years of age or over.

I/We, having received and considered a copy of the current Prospectus, any relevant supplements thereto and the most recent annual and/or semi-annual report of the Fund (if any), hereby confirm and declare that this application is based solely on the information contained in such documentation and is made pursuant to the terms of this Application Form. In addition, I/We confirm that I/We have received and read the information contained in this form and confirm that a copy of the Key Investor Information Document has been supplied to me/us. I/We confirm that I/We have read the Key Investor Information Document (KIID) and that any future investments to any other sub-fund or share class of the umbrella fund can also be transacted based on this confirmation. I/We hereby acknowledge and agree that the updated KIID for each Share Class is available at the Investment Manager’s website at www.7im.co.uk and that I/we will read and review the most up-to-date version of the relevant KIID prior to making any subsequent application for Shares in the Fund. I/We request and authorise Northern Trust to act in accordance with my/our instructions.

I/We agree that the issue and allotment to me/us of the Shares is subject to the provisions of the Prospectus, that subscription for Shares will be governed and construed in accordance with Irish law and I/we confirm that by subscribing for Shares, I/we are not relying on any information or representation other than such as may be contained in the Prospectus and the most recent annual or semi annual report (if available) thereto.

I/We certify that I am a/we are eligible to invest in the Fund and I am/ we are not acquiring Shares for or on behalf of, or for the benefit of, any person or entity who/which is not eligible to invest in the Fund nor do I/we intend transferring any Shares which I/we may purchase to any person or entity who/which is not eligible to invest in the Fund. I/We confirm that I/we are aware of the risks involved in the proposed investment and of the fact that inherent in such investment is the potential to lose the entire sum invested.

I/We agree to notify the Fund or the Administrator immediately if I/we become aware that any of the representations, declarations or warranties given by me/us in this Application Form is/are no longer accurate and complete in all respects and agree immediately to take such action as the Fund may direct, including where appropriate, redemption of my/our entire holding.

I/We agree to indemnify the Administrator, on its own behalf and as agent of the Fund and agree to keep the Administrator and the Fund indemnified against any loss of any nature whatsoever arising to any of them as a result of any breach of any of the representations, warranties or declarations given by me/us in this Application Form.

I/We have such knowledge and experience in business and financial matters or have obtained advice from a professional adviser such that I am/we are capable of evaluating the merits, and the risks, of an investment by me/us in the Fund.

I/We understand that the tax disclosure set forth in the Prospectus is of a general nature and may not cover the jurisdiction in which I am /we are subject to taxation and that the tax consequences of my/our purchase of Shares depend on my/our individual circumstances.

I/We acknowledge the right of Fund at any time to require the mandatory redemption of Shares in the circumstances provided for in the Prospectus.

Section 12 – Politically Exposed Persons

A Politically Exposed Person is defined as an individual who is or was at any time in the preceding 12 months entrusted with a prominent public function or immediate family members of such persons or persons known to be close professional associates of such persons.

Please confirm whether you are a Politically Exposed Person (PEP)

Yes No

Required Identity Verification Documentation:

1. Certified Copy Photographic Identity Document e.g. Passport or Driver’s Licence and
2. Two Certified Copies of Proof of Residential Address Verification e.g. utility bill / bank statement dated within the last six months
3. Source of Funds
4. Source of Wealth

Note: In terms of certified documents, this means that there is an original signature on the document from a suitable person confirming the documents are a true copy of the original, and they will need to sign and date it, printing their name, occupation, address, telephone number and email address. Suitable persons include any of the following; police officer, practicing solicitors, embassy consular staff, financial institutions, medical professions, notaries public. The person certifying the documents should be independent of the investor.

A. Source of Funds

Please provide details

- Earnings from Employment / Self Employment
- Inheritance or Gift
- Investment Income
- Matured Investment
- Lump Sum Retirement
- Court Award
- Sale of Property
- Other – please specify below

B. Source of Wealth

Please provide a brief description of your source of wealth (source of total accumulated net worth)

I/We certify that I am not/none of us is a U.S. Person, meaning a person who is in either of the following two categories: (a) a person included in the definition of "U.S. person" under Rule 902 of Regulation S under the U.S. Securities Act of 1933, as amended, or (b) a person excluded from the definition of a "Non-United States person" as used in CFTC Rule 4.7.

I/We hereby also certify that the Shares are not being acquired for the benefit of, directly or indirectly, any U.S. Person nor in violation of any applicable law, and that I/we will not, subject to the conditions set forth in the Prospectus, sell or offer to sell or transfer Shares in the United States or to or for the benefit of a U.S. Person.

I/we understand that the Fund has not been and will not be registered under the United States Investment Company Act of 1940, as amended, that the Shares have not been registered and will not be registered under the United States Securities Act of 1933, as amended, and that the Shares have not been qualified under the securities laws of any state of the United States and may not be offered, sold or transferred in the United States or to or for the benefit of, directly or indirectly, any U.S. Person; (b) I am not/none of us is a U.S. Person.

I/We will hold Shares on behalf of a U.S. Taxpayer* (as defined below):

Yes No (please tick the appropriate box)

If the "yes" box is ticked, then I/we understand the U.S. tax consequences of such an investment. I/We agree to provide the Fund with such additional tax information as it may from time to time request.

*Please refer to the supporting Notes at end of this form.

I am/we are able to bear the economic risk of an investment in the Shares, including, without limitation, the risk of loss of all or a part of my/our investment. I/we do not have an overall commitment to investments which are not readily marketable that is disproportionate to my/our net worth, and my/our investment in the Shares will not cause such overall commitment to be excessive.

The Administrator and the Fund are each hereby authorised and instructed to accept and execute any instructions in respect of the Shares to which this application relates given by me/us in written form or by facsimile. I/We hereby agree to indemnify the Administrator, on its own behalf and as agent of the Fund and agree to keep the Administrator indemnified against any loss of any nature whatsoever arising as a result of the Administrator acting upon facsimile instructions. The Administrator may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons.

I/We acknowledge that due to anti-money laundering requirements operating within Ireland, the Administrator or the Fund (as the case may be) may require further identification of the applicant(s) before the application can be processed and the Administrator, on its own behalf and as agent of the Fund and the Fund shall be held harmless and indemnified against any loss arising as a result of a failure to process the application, or a delay in processing any redemption requests, if such information requested by the Administrator or the Fund has not been provided by me/us or has been provided in incomplete form.

I/We hereby accept such lesser number of Shares, if any, than may be specified above in respect of which this application may be accepted.

In respect of joint applicants only, we direct that on the death of one of us the Shares for which we hereby apply be held in the name of and to the order of the survivor or survivors of us or the executor or administrator of the last of such survivor or survivors.

I/we acknowledge that I/we have read in detail the Privacy Notice attached at Appendix I hereto which provides an outline of my/our data protection rights and the Company's data protection obligations as they relate to my/our investment in the Company. I/we acknowledge that the Company may need to make changes to this Privacy Notice from time to time and shall do so by amending the version of this Privacy Notice published on the website (www.7im.co.uk/privacy-policy) and I/we will review this regularly so I/we are informed of the latest position as it affects me/us.

I/We hereby authorise the Fund and the Administrator to retain all documentation provided by me/us in relation to my/our investment in the Fund for such period of time as may be required by Irish law, but for not less than five years after the period of investment has ended.

I/We shall provide the Fund, the Manager and the Administrator with any additional information which it may reasonably request in connection with tax and or/FATCA regulations/reporting requirements or other similar requirements in order to substantiate any representations made by me/us or otherwise and I/we authorise the Fund or its agents to disclose such information relating to this application to such persons as they consider appropriate.

I/We shall supply the Fund with executed copies of those documents for US and Non-US Taxpayers listed below:

- W-8BEN
- W-8BEN-E
- W-8ECI
- W-8EXP
- W-9

I/We agree to provide to the Fund, the Manager and the Administrator at such times as each of them may request such declarations, certificates or documents as each of them may reasonably require (the "Tax Information") in connection with this investment (including for the purposes of tax and/or FATCA regulations/reporting requirements). Should any information furnished to any of them become inaccurate or incomplete in any way, I/we hereby agree to notify the Fund, the Manager or the Administrator immediately of any such change and further agree to request the redemption of Shares in respect of which such confirmations have become incomplete or inaccurate where requested to do so by the Fund.

In addition to any information required to the Tax Information, I/We agree to promptly provide, and periodically update, at any times requested by the Manager or the Administrator with any information (or verification thereof) the Manager or the Administrator deems necessary to comply with any requirement imposed on the Fund including pursuant to tax and/or FATCA reporting requirements (including any imposed by any inter-governmental agreement or any legislation in relation to any intergovernmental agreement). This may include such information as is required to evidence the Fund's (or any accountholder in the Fund's) status for such purposes, but to include (not limited to) whether the Fund is a foreign financial institution (for FATCA purposes), or whether of its

account holders are US person for these purposes.

In addition to the Tax Information, I/We agree to promptly provide, at any times requested by Seven Investment Management LLP or Administrator, any information (or verification thereof) the Manager or the Administrator deems necessary for the Fund to comply with the terms of the IGA and any Irish laws, regulations or other guidance implementing the IGA, and any information required to comply with the terms of that agreement on an annual or more frequent basis. I/We agree to waive any provision of foreign law that would, absent such a waiver, prevent compliance with such requests and acknowledges that, if it fails to provide such waiver, it may be required by Seven Investment Management LLP to withdraw from the Fund if necessary to comply with FATCA and the IGA.

We represent and warrant the completeness and accuracy of any information (as at the date of submission) and authorise the Fund, the Seven Investment Management LLP or Administrator to act upon such information in good faith, including, but not limited to, disclosing or submitting such information to the Irish tax authorities. We represent and warrant that we have any requisite consent to disclose any information to you. The Fund shall have no obligation to carry out any investigation with respect to the truth, accuracy or completeness of any information provided by us and I/We will, on demand, hold the Fund harmless from any liability resulting from the my/our failure to provide complete and accurate Information.

I/We hereby acknowledge that if I/we fail to provide the any information on a timely basis, I/We may be subject to 30% U.S. withholding tax on the investor's share of "withholdable payments" (as defined for purposes of FATCA) received by the Fund.

I/We hereby acknowledge that if I/we fail to provide the any information and such failure results in the Fund being unable to comply with the IGA, the Manager may exercise its right to completely redeem an applicant (at any time upon any or no notice). I/We further acknowledge and agree to indemnify the Fund and its other investors for any losses resulting from our failure to meet its obligations under this Section, including any U.S. withholding tax imposed on the Fund.

I/We hereby acknowledge that any notice or document may be served by the Fund or Administrator on me/us in the manner specified from time to time in the Prospectus and, for the purposes of the Electronic Commerce Act 2000, if I have provided an e-mail address or fax number to the Fund or its delegate, consent to any such notice or document being sent to me/us by fax or electronically to the fax number or e-mail address previously identified to the Fund or its delegate which I/we acknowledge constitutes effective receipt by me/us of the relevant notice or document. I/we acknowledge that I/we am/are not obliged to accept electronic communication and may at any time choose to revoke my/our agreement to receive communications by fax or electronically by notifying the Fund in writing at the above address, provided that my/our agreement to receive communications by fax or electronically shall remain in full force and effect pending receipt by the Fund of written notice of such revocation.

Please ensure you sign this to complete your investment application. We may be required to report certain information on individuals to relevant competent authorities. You must provide complete and accurate information on this form.

I certify that I have completed this form and to the best of my knowledge it is true, correct and complete.

I agree that I will inform Seven Investment Management LLP within 90 days if there are any changes in circumstances which would mean that this application is incorrect.

I agree to receive contract notes, statements and other reports by secured or encrypted electronic transmission, which may be issued from time to time by the Administrator in respect of my holdings in the Fund.

I acknowledge that the information contained in this form and information

regarding my account may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which I may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates.

I confirm that I have read the Key Investor Information Document(s) (KIID) relevant to my investment choice.

Signature of Applicant One

PRINT Name

Date (DD/MM/YYYY) / /

Signature of Applicant Two

PRINT Name

Date (DD/MM/YYYY) / /

*FACTA – the Foreign Account Tax Compliance Act – this is a US Federal law that requires foreign investment companies and banks to report and disclose US interests in foreign financial institutions. It aims to prevent tax evasion by US citizens and residents even if they are residing outside of the US.

Supporting Notes

US Taxpayer

A "U.S. Taxpayer" is defined to include a U.S. citizen or resident alien of the United States (as defined for United States federal income tax purposes); any entity treated as a partnership or corporation for U.S. tax purposes that is created or organised in, or under the laws of, the United States or any state thereof (including the District of Columbia); any other partnership that is treated as a U.S. Taxpayer under U.S. Treasury Department regulations; any estate, the income of which is subject to U.S. income taxation regardless of source; and any trust over whose administration a court within the United States has primary supervision and all substantial decisions of which are under the control of one or more U.S. fiduciaries. Persons who have lost their U.S. citizenship and who live outside the United States may nonetheless, in some circumstances, be treated as U.S. Taxpayers.

An investor may be a "U.S. Taxpayer" but not a "U.S. Person". For example, an individual who is a U.S. citizen residing outside of the United States is not a "U.S. Person" but is a "U.S. Taxpayer".

Amounts paid to Shareholders who are U.S. Taxpayers as a distribution from the Fund, or as gross proceeds from a redemption of Shares, will generally be reported to Unitholders who are U.S. Taxpayers and the IRS on an IRS Form 1099 (except as otherwise noted below). Failure to provide an appropriate and properly executed IRS Form W-9 (for Unitholders who are U.S. Taxpayers) may subject such Unitholders to backup withholding tax. Backup withholding tax is not an additional tax. Any amounts withheld may be credited against such Unitholders' U.S. federal income tax liability.

FATCA

FATCA stands for Foreign Account Tax Compliance Act and is a United States Federal Law. It has been designed to ensure all US persons living inside and outside of the US report their financial accounts to the United States Internal Revenue Service (IRS). FATCA Tax regulations require the collection of certain information about each account holder's tax residency and citizenship status. It also requires financial institutions to report to the IRS about their US clients.

Further information about FATCA can be found in the frequently asked questions section of our website www.7im.co.uk

If you have any questions please call **+353 1 434 5176**, fax **+353 1 531 8572** or email **Seven_TA@ntrs.com**

Seven Investment Management LLP is authorised and regulated by the Financial Conduct Authority and by the Jersey Financial Services Commission. Member of the London Stock Exchange. Registered office: 55 Bishopsgate, London EC2N 3AS. Registered in England and Wales number OC378740.

TOP UP FORM

SEVEN INVESTMENT MANAGEMENT (7IM) FUNDS

Please use this form to make additional investments in 7IM (Dublin) Funds.

Please complete all sections in CAPITALS
SECTIONS MARKED WITH AN * ARE MANDATORY

Section 1 – Registered Account Holder(s)

Registered Account Name

Forename(s)*

Surname*

Registered Account Number

Permanent Residential Address*

Country*

Postcode*

Daytime Telephone Number*

Email Address

Registered Account Name (joint holder, if applicable)

Section 2 – Choosing your Investments

Please make sure you read the Prospectus and KIID to help you decide which fund to invest in – or take advice from a Financial Adviser.

The dealing deadline for subscriptions or redemptions is 11:59am (Irish time) on each dealing day. Dealing instructions received after this will be processed on the next dealing day.

There is a minimum lump sum investment of £1,000 available for each fund as well as Income and Accumulation units. Regular savings amounts are £100 per fund and unit.

Income units pay out dividend to you but Accumulation units reinvest the dividend and give you additional units instead.

Fund Name	Share Class	INC	ACC	Value (£)

Section 3 – Paying for your Investments

You can send money by bank transfer to:

Barclays Bank PLC

SWIFT Code: BARCGB22

Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey

IBAN: GB64BARC203253529495

Beneficiary: 640037-20019 7IM (Dublin) Funds PLC

For Further credit account name: Northern Trust Fiduciary Services (Ireland) Limited as custodian to 7IM (Dublin) Funds PLC

Reference: Please quote the fund name (7IM (Dublin) Funds PLC) along with your client registration number.

Section 4 – Declaration and Self Certification

Please ensure you sign this to complete your investment application. We may be required to report certain information on individuals to relevant competent authorities. You must provide complete and accurate information on this form.

I/We acknowledge and agree that the updated KIID for each Share Class is available at the Investment Manager's website at www.7im.co.uk and that I/we will read and review the most up-to-date version of the relevant KIID prior to making any subsequent application for Shares in the Fund. I/We confirm that any future investments to any other Sub-Fund or Class of the Fund can also be transacted based on this confirmation.

I certify that I have completed this form and to the best of my knowledge it is true, correct and complete.

I agree that I will inform Seven Investment Management LLP within 30 days if there are any changes in circumstances which would mean that this application is incorrect.

I agree that you can share the details of this application with the relevant tax authorities according to FATCA* requirements.

I confirm that I have read the Key Investor Information Documents (KIID) relevant to my investment choice.

Signature of Registered Account Holder

PRINT Name

Date (DD/MM/YYYY)

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Signature of second Registered Account Holder (if joint account)

PRINT Name

Date (DD/MM/YYYY)

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*FATCA – the Foreign Account Tax Compliance Act – this is a US Federal law that requires foreign investment companies and banks to report and disclose US interests in foreign financial institutions. It aims to prevent tax evasion by US citizens and residents even if they are residing outside of the US.

PLEASE RETURN THIS FORM TO: SEVEN INVESTMENT MANAGEMENT LLP, GEORGES COURT, 54-62 TOWNSEND STREET, DUBLIN 2, IRELAND

7IM Funds

Privacy Notice

7IM Investment Funds, 7IM Specialist Funds and 7IM Opportunity Funds
(referred to in this document as the “7IM UK Funds”).

7IM (Dublin) Funds plc
(referred to in this document as the “7IM Dublin Funds”).

Each of the above may be referred to as the “Fund” or together as the “Funds”.

Introduction

The purpose of this document is to provide you with information on our use of your personal data in accordance with the EU data protection regime introduced by the General Data Protection Regulation (Regulation 2016/679, the “Data Protection Legislation”).

In this document, “we”, “us” and “our” refer to the Fund and Seven Investment Management LLP and its affiliates and delegates.

Who this affects

If you are an individual investor, this will affect you directly. If you are an institutional investor that provides us with personal data on individuals connected to you for any reason in relation to your investment with us, this will be relevant for those individuals and you should transmit this document to such individuals or otherwise advise them of its content. You may be an investor in one or more Funds.

Your personal data

By virtue of making an investment in one or more Funds and your associated interactions with us (including the initial application for shares, and including the recording of electronic communications or phone calls where applicable) or by virtue of you otherwise providing us with personal information on individuals connected with you as an investor (for example directors, trustees, employees, representatives, shareholders, investors, clients, beneficial owners or agents), you will provide us with certain personal information which constitutes personal data within the meaning of the Data Protection Legislation. We may also obtain personal data on you from other public sources.

This includes the following information relating to you and/or any individuals connected with you as an investor: name, residential address, email address, contact details, corporate contact information, signature, nationality, place of birth,

date of birth, tax identification, credit history, correspondence records, passport number, bank account details, source of funds details and details relating to your investment activity.

How we may use your personal data

The Fund, as the data controller, may collect, store and use your personal data for lawful purposes disclosed below:

(i) to reflect your ownership of shares in the Fund (i.e. where this is necessary for the performance of the contract to purchase shares in the Fund or to process redemption, conversion, transfer and additional subscription requests or the payment of distributions);

(ii) to discharge our anti-money laundering obligation to verify the identity of our customers (and, if applicable their beneficial owners) or for prevention of fraud or for regulatory or tax reporting purposes or in response to legal requests or requests from regulatory authorities (i.e. where this is necessary for compliance with a legal obligation to which we are subject); and/or

(iii) for direct marketing purposes (that is, providing you with information on products and services) or for quality control, business and statistical analysis or for tracking fees and costs or for customer service, training and related purposes (i.e. where this is necessary for the purposes of the legitimate interests of us or a third party and such legitimate interests are not overridden by your interests, fundamental rights or freedoms and provided that we are acting in a fair, transparent and accountable manner and have taken appropriate steps to prevent such activity having any unwarranted impact on you and also noting your right to object to such uses, as discussed below).

Northern Trust International Fund Administration Services (Ireland) Limited (the "**Administrator of the 7IM Dublin Funds**") and Northern Trust Global Services PLC (the "**Administrator of the 7IM UK Funds**") may each use your personal data where this is necessary for compliance with a legal obligation to which each Administrator is directly subject (i.e. to comply with applicable law in the area of anti-money laundering and counter terrorist financing, where required for global tax reporting purposes or where mandated by a court order or regulatory sanction). The Administrators will each act as a data controller in respect of these specific uses of personal data.

Northern Trust Fiduciary Services (Ireland) Limited (the "**Depositary of the 7IM Dublin Funds**") and Northern Trust Global Services PLC (the "**Depositary of the 7IM UK Funds**") may each use your personal data where this is necessary for compliance with a legal obligation to which each Depositary is directly subject (i.e. to comply with applicable law in the area of anti-money laundering and counter terrorist financing or where required for global tax reporting purposes). The Depositaries will each act as a data controller in respect of these specific uses of personal data.

Should we wish to use your personal data for other specific purposes (including, if applicable, any purpose that requires your consent), we will contact you.

Why we may transfer your personal data

We may share your personal data with our affiliates and delegates.

In certain circumstances we and/or our authorised delegates may be legally obliged to share your data and other financial information with respect to your interest in the Fund with the relevant taxation authorities and they, in turn, may exchange this information with foreign tax authorities including tax authorities located outside the European Economic Area (the "**EEA**").

We anticipate that the following affiliates and delegates will process your personal data on our behalf and this may include certain entities located outside the EEA:

- the Administrator of the 7IM UK Funds;
- the Administrator of the 7IM Dublin Funds;

- the manager of the 7IM Dublin Funds, Link Fund Manager Solutions (Ireland) Limited
- the Fund's investment manager and distributor, Seven Investment Management LLP and their respective affiliates.

The data protection measures we take

We anticipate that your information shall be sent internationally, including to countries outside of the EEA in particular, the above referenced Northern Trust entities have indicated that they will do this. Any transfer of personal data by us, any Northern Trust entities or any of our other duly authorised delegates outside the EEA shall be subject to appropriate safeguards being in place in accordance with the conditions in the Data Protection Legislation. Please contact us if you wish to obtain more information on the appropriate safeguards. See "Getting in touch" below.

We and our duly authorised delegates shall apply appropriate information security measures designed to protect data in our/our delegates' possession from unauthorised access by third parties or any form of computer corruption.

We shall notify you of any personal data breach affecting you that is likely to result in a high risk to your rights and freedoms.

Your data protection rights

You have certain rights regarding our use of your personal data summarised as follows:

- the right to access your data (in an easily readable form);
- the right to examine and correct your data;
- the right to data portability;
- the right to restrict the use of your data;
- the right to withdraw any consent given to the processing of your data (where applicable);
- the right to receive information regarding any entities we disclose your data to;

the right to lodge a complaint in Ireland with the Data Protection Commission in respect of the 7IM Dublin Funds (for more information see <https://dataprotection.ie/docs/complaints/1592.htm>) or in the United Kingdom with the Information

Commissioner in respect of the 7IM UK Funds (for more information see www.ico.org.uk/concerns).

You also have the right to object to the processing of your data where we have considered this to be necessary for the purposes of our legitimate interests.

Please note that the right for your data to be erased (the "right to be forgotten") that applies in some contexts is not likely to be applicable to most, if not all, of the personal data we hold, given the specific nature of the purposes for which we use the data, as described above.

Our retention of your personal data

We or our duly authorised delegates may retain your personal data for a period of up to seven years following your disinvestment from the Fund or the point where your business relationship with us has ceased. Thereafter, we and our duly authorised affiliates and delegates will refrain from collecting any further personal data on you and shall take appropriate steps to dispose of any records containing your personal data, to the extent this is operationally feasible and proportionate.

25 May 2018

Changes to this Privacy Notice

We may need to make changes to this Privacy Notice from time to time and shall do so by amending the version of this Privacy Notice published on our website <https://www.7im.co.uk/7IM-Funds-Privacy-Notice> and we would therefore invite you to review this regularly so you are informed of the latest position as it affects you.

Cookies Policy

We have in place a separate cookies policy which is available on our website <https://www.7im.co.uk/7IM-Cookie-Policy> and details the use of cookies on our website.

Getting in touch

The Funds are not required to designate a data protection officer. However, should you have any queries or wish to discuss your data protection rights with us, please contact us at information@7IM.co.uk