



STATEMENT OF TARGET MARKET

7IM PLATFORM AND PRODUCT WRAPPERS

JULY 2023

7IM Platform Service

The 7IM Platform offers financial advisers a flexible, cost-effective service to suit a variety of needs of retail investors.

The platform provides a wide range of investment solutions for light touch, core or high-net-worth client service, onshore or offshore, in both the accumulation and decumulation phases.

Whether advisers choose to look after their clients' portfolios themselves or prefer to use 7IM's investment solutions, the 7IM Platform provides a range of options to suit all investment proposition requirements.

The platform is suited to advised customers who with the help of their advisers wish to:

- Utilise a range of tax wrappers, financial investments, and managed portfolio services
- Have the flexibility to change investments quickly and easily
- Keep track of their portfolio's performance and charges through online applications and periodic statements.

The platform may not be suitable for advised customers who:

- Have a low portfolio value, where platform costs may not be justified
- Are looking for a self-serve or execution only type service with no advice
- Are looking to transfer investments from elsewhere with guarantees or protections that cannot be maintained (e.g. life products).

Vulnerable Customers

We understand that our customers may at some point find themselves in a vulnerable position. We aim to ensure that our customers that may find themselves in a vulnerable position continue to receive the same fair treatment and outcomes as all our customers by providing additional support if required.

7IM Product Wrappers

Stocks and Shares ISA

The Stocks and Shares ISA could be suitable for advised customers who:

- Wish to invest in a tax-efficient way over the medium to long-term, and with the aim of achieving growth, bearing in mind that this is not guaranteed
- Are a UK resident (including Crown servants or their spouse or civil partner abroad) and aged 18 or over
- Are happy to accept more risk to their capital than saving in a cash ISA through a bank or building society.

The Stocks and Shares ISA may not be suited to advised customers who:

- Have no other savings
- Have a short-term view to investment
- Are not happy to accept the risk of potential investment losses
- Require a guaranteed return on their investments.



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Investment Account (IA)

Our Investment Account could be suitable for advised customers who:

- Wish to invest over the medium to long-term, with the aim of achieving growth, bearing in mind that growth is not guaranteed
- Have fully utilised their annual ISA allowance
- Understand their investments will be subject to relevant taxes
- Are resident in the UK, and are either an individual aged over 18, a company, charity, trust or pension scheme
- Are happy to accept more risk than saving in a bank or building society account.

Our Investment Account may not be suited to advised customers who:

- Have no other savings
- Have not fully utilised their annual ISA allowance
- Have a short-term view of investment
- Are not happy to accept the risk of potential investment losses
- Require a guaranteed return on their investments.

Self-Invested Personal Pension (SIPP)

Our Self-Invested Personal Pension could be suitable for advised customers who:

- Have pension pot(s) in excess of £30,000 which are still in the accumulation stage
- Have pension pot(s) in excess of £100,000 at the decumulation stage
- Wish to consolidate their pension pots
- Wish to build up a pension pot in a tax-efficient way
- Wish to build up their pension savings over time to provide an income in the future by investing for growth, bearing in mind that growth is not guaranteed
- Need the flexibility to make their own investment decisions in line with their aims and attitude to risk
- Are looking to utilise 7IM for all of their investment wrapper needs i.e. ISA, SIPP, and Investment Accounts
- Wish to provide benefits for their beneficiaries on death
- Are UK residents (though some non-UK residents may qualify) and aged over 18.

Our Self-Invested Personal Pension may not be suited to advised customers who:

- Wish to transfer a final salary/defined benefit pension to 7IM
- Wish to invest in specialist investments, such as property
- Wish unrestricted access to their investments before the age of 55
- Require a workplace pension arrangement with auto-enrolment or a qualifying workplace pension scheme
- Are non-UK residents

www.7im.co.uk

Seven Investment Management LLP is authorised and regulated by the Financial Conduct Authority. Member of the London Stock Exchange.
Registered office: 1 Angel Court, London EC2R 7HJ. Registered in England and Wales number OC378740.