

Trust Additional Individual Details Form



PLEASE PRINT CLEARLY IN BLOCK CAPITALS AND COMPLETE ALL APPLICABLE SECTIONS OF THIS FORM.

For Trusts only

Section 01 Individual Details

Please provide details of all individuals in relation to the trust (this includes all trustees, settlors and named beneficiaries). If the trust classification is Passive NFE, we will require details of all individuals who exercise ultimate effective control over the trust.

Tick all that apply

☐ Individual Trustee ☐ Settlor ☐ Named Beneficiary ☐ Other (please specify):

Same as Main Contact? ☐ Yes ☐ No

If you are an existing client, please provide your account reference and fill out the details below:

Title:

First Name(s):

Surname:

Date of Birth (DD/MM/YYYY): / /

Nationality:

Permanent Residential Address (please note we cannot accept a PO Box number or a care of (C/O) address):

City:

County (or country if not UK):

Postcode:

Tax Residency (please only complete if Classification of Trust or Scheme is Passive NFE)

For Tax Residency and Tax Identification Number guidance, please refer to: www.oecd.org/tax/

Are you a US Citizen? ☐ Yes ☐ No

Please list all countries in which you are currently resident for tax purposes and the associated Tax Identification Number (TIN) for that jurisdiction. A UK tax resident for example, should provide a UK National Insurance Number or Unique Taxpayer Reference (UTR).

Country / Countries of Tax Residence

Tax Identification Number (TIN)

TRUST ADDITIONAL INDIVIDUAL DETAILS FORM

If a TIN is not available please select **one** of the following reasons:

- ☐ The country where you are liable to pay tax does not issue TINs to its residents.
- ☐ No TIN is required. (Note only select this reason if the authorities of your country of tax residence do not require the TIN to be disclosed).
- ☐ You are otherwise unable to obtain a TIN or equivalent number. Please explain why you are unable to obtain a TIN in the box below:

Section 02 Declarations

This application form, if accepted by us, forms part of a legally binding agreement between you and us relating to the 7IM account(s) opened for you. The other parts of the agreement are contained in the applicable 7IM Terms and Conditions for the chosen 7IM investment service and the Fees and Charges provided to you.

**You should read these documents and this section carefully before completing and signing this form.
If there is anything you do not understand, please ask for further information.**

By signing this form, you make the following declarations:

- a. you:
- i. apply to open the 7IM account(s) specified in this form;
 - ii. appoint 7IM to provide the chosen 7IM investment service for the purpose of your 7IM account(s); and
 - iii. instruct 7IM to appoint a custodian to hold the money and investments relating to your 7IM account(s), in accordance with the 7IM Terms and Conditions for your chosen investment service;
- b. you understand and agree that when we notify you that we have accepted your application and opened your 7IM account(s), you will be bound by the terms of our agreement with you, as amended from time to time;
- c. you acknowledge that your personal data will be processed in accordance with our Privacy Policy, which is available on our website www.7im.co.uk;
- d. you confirm that, to the best of your knowledge and belief, the information, declarations and confirmations given by you in this form are true, accurate and complete;
- e. you will notify 7IM immediately in writing of any changes to the information provided and any other relevant information; and
- f. the signatory(ies) has/have the necessary authorisation to make these declarations and sign this form on behalf of the applicant.

Your signature(s) is required for the completion of this form

Authorised Signatory:

Signed by (please PRINT name):

Date:

By opening this account and signing here, the account owner:

- a. represents and warrants that he/she/it is not a U.S. Person, meaning a person who is in either of the following two categories: (a) a person included in the definition of "U.S. person" under Rule 902 of Regulation S under the U.S. Securities Act of 1933, as amended, or (b) a person excluded from the definition of a "Non-United States person" as used in CFTC Rule 4.7; and
- b. agrees to inform us if there are any changes in circumstances which would mean that this representation is no longer accurate, including if the account owner becomes a resident of the U.S.