

# Who we are

In partnership with your  
financial adviser



Succeeding together

7iM

### **Capital at risk**

You should be aware that the value of investments may go up and down and you may receive back less than you invested originally.


7IM considers that the obligation to provide appropriate advice and explain the risks inherent in the portfolios falls to advisers.

# Working with you and your financial adviser

7IM works in partnership with your financial adviser to help you make the most of your money.

Your adviser gets to know you, gaining an understanding of your long-term financial goals. And we provide your adviser with a cost-effective investment-management service supported by technology that makes it all happen efficiently for you.

Our close relationship with advisers has shaped our service. Many of our most innovative solutions have grown out of what we've learned by working with advisers, finding new ways to meet your needs as their client.



**Your adviser  
should always be  
your first port of call,  
but we're here too if  
you need us.**

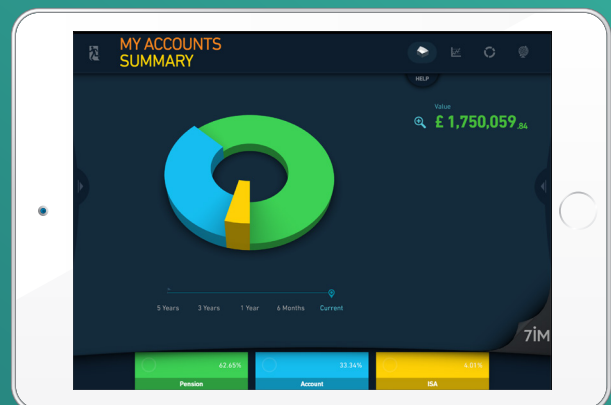
# What we do

## A flexible investment-management service

At 7IM we provide you with innovative solutions that evolve to support your changing needs, supported by outstanding service. We offer investment management tailored just for you as well as ready-made cost-effective investments. Whether you're investing for long-term growth, planning for retirement or drawing an income from your pension, we work with your financial adviser to arrange what works best for you.

## Powerful supporting technology

Our innovative tools make it easier for you and your adviser to get the most out of your investments. With our award-winning online client portal and 7IMagine app, we have everything to provide you with exceptional all-round service. Your adviser will tell you which tools are available for you to use and how you can best take advantage of them.



# About 7IM

## Providing the kind of service we wanted

The '7' in 7IM refers to the seven original founders of the business. Back in 2002, they couldn't find a firm they trusted to manage their families' money properly – big banks seemed impersonal and greedy, while most boutiques lacked the necessary investment process and structure. They started the kind of organisation they'd like to invest with themselves.

## Taking a steady approach

Their aim was to deliver steady returns over the long term, while keeping an eye on risk, using the best technology. They wanted everyone to have access to the kind of service and expertise that institutional investors would expect.

## Nurturing a growing team and client-base

Our team, our list of clients and our funds under management have grown steadily over the years. From our offices in London and Edinburgh, almost 500 talented people now manage over £19 billion for a range of clients, including individuals and families, financial advisers, institutions, charities and trustees.

## Following our original philosophies

Despite our growth as an organisation, our principles remain the same. We still follow our founders' plan to offer the kind of service we'd want ourselves. And we still aim to get the simple things right – like putting clients first, managing risk and being transparent about costs and charges.

Our investment principles have also remained unchanged. We believe in them and refer to them often.

# Sustainability at 7IM

As a corporate citizen, we take our environmental, social and governance (ESG) responsibilities seriously.



## **ESG responsibilities are built into our processes**

Our investment processes incorporate an evaluation of ESG factors and how they influence risk and return. We believe this is best handled within the relevant teams – Investment Strategy, Portfolio Management and Risk Management – rather than separately. Each team has an ESG champion who ensures that issues are addressed comprehensively.



## **We're signatories of the UN Principles for Responsible Investment (PRI)**

The UN PRI principles require that we incorporate ESG considerations within our investment process and provide in-depth reporting. We analyse investments in terms of environmental footprint, corporate and country governance and human rights, and prioritise ESG considerations in our research and analysis.



## **We're committed to adopting the UK Stewardship Code**

The UK Stewardship code is made up of seven principles for institutional investors relating to a variety of responsibilities. These include sharing information, monitoring companies we invest in and establishing guidelines to protect shareholders.



## **We're reducing our corporate footprint**

We have identified opportunities to reduce our carbon footprint by reducing air travel, utility consumption and streamlining our supply chain. Where we cannot eliminate all carbon emitting activity, we will look to offset carbon emissions created by 7IM, e.g. by tree-planting.



## **We have a programme of charitable work**

7IM'ers have been committed to supporting charities and communities for a long time. From national charities across the UK to smaller local community projects, the 7IM charity programme is committed to supporting causes that can make a difference to those in need. In 2021 we plan to continue this support, with a dedicated committee and a pledge from 7IM to match funding of £17,500 to any fundraising carried out by our people.



