

Individual Self Certification Form



UK Legislation¹ requires us to collect information about each account holder's tax residency. In certain circumstances (including if we do not receive a valid Self-Certification from you) we may be obliged to share information on your account with HMRC who may in turn share this information with other tax authorities in other jurisdictions. Should any information provided change in the future, please ensure you advise us of the changes immediately. Please provide your details below, including a list of **all countries** in which you are resident for tax purposes and the associated Tax Identification Number (TIN)². If you are a US citizen or tax resident under US law, please indicate that you are a US tax resident and also complete an IRS W-9 form, available from 7IM. If you have any questions about how to complete this form, please contact your tax advisor. **We are unable to give tax advice.**

PLEASE PRINT CLEARLY IN BLOCK CAPITALS. SECTIONS MARKED WITH AN * ARE MANDATORY.

Section 1 – Individual Details

Title: Mr/Mrs/Miss/Ms /Other:

First Name(s)*:

Surname*:

*Permanent Residential Address:

City*:

County*:
(or country if not UK)

Postcode*:

Date of Birth* (DD/MM/YYYY): / /

Town and Country of Birth:

Nationality*:

Section 2 – Tax Residency

Are you a US citizen?*

Yes No (If yes, please also complete an IRS W-9 form)

Please list below all countries in which you are resident for tax purposes and the associated Tax Identification Number (TIN).

Country/ Countries of Tax Residence*	Tax Identification Number
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

If a TIN is not available please select **one** of the following reasons:

- The country where I am liable to pay tax does not issue TINs to its residents
- No TIN is required. (Note only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed).
- I am otherwise unable to obtain a TIN or equivalent number. Please explain why you are unable to obtain a TIN in the box below:

Section 3 – Declaration

I declare that:

- The information provided in this form is to the best of my knowledge and belief, accurate and complete; and
- I agree to notify 7IM immediately in the event that any of the information in this Self Certification changes.

Signature:

Signed by (please print name):

Date:

Capacity³:

Notes:

1 UK Legislation

The term 'UK Legislation' refers to "The International Tax Compliance Regulations 2015" created to enable automatic exchange of financial account information under four different regimes: 1. The United States Foreign Account Tax Compliance Act - FATCA, 2. the Crown Dependencies and Gibraltar Regulations - CDOT, 3. The Common Reporting Standard developed by the OECD - CRS, 4. The EU Directive on Administrative Cooperation in Tax Matters - DAC.

2 Tax Identification Number (TIN)

The term "TIN" means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a "functional equivalent"). Examples of that type of number include, for individuals, a social security/insurance number, citizen/personal identification/service code/number, and resident registration number. For UK tax residents a TIN can be either a National Insurance Number or a UTR.

3 Capacity

If you are not the Account Holder please indicate the capacity in which you are signing the form. If signing under a power of attorney please also ensure that you have also provided us a certified copy of the power of attorney.

PLEASE RETURN THIS FORM TO SEVEN INVESTMENT MANAGEMENT, 55 BISHOPSGATE, LONDON EC2N 3AS

For office use only

Account No:

RM initials:

Adviser initials:

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